



Genentech, Inc.

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South San Francisco, CA 94080
(650) 225-1000
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FACSIMILE TRANSMITTAL

DATE: June 14, 2002

Please deliver the following page(s) to:

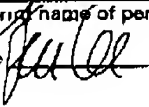
NAME: Assistant Commissioner of Patents
Washington, DC 20231

Fax No.: 1-703-746-4060

FROM: Mark T. Kresnak, Ph.D.
Registration No.: 42,767

RE: U.S. Serial No.: 10/052,283
Our Docket No.: P2751R1C1

Number of Pages including this cover sheet - 14

CERTIFICATION OF FACSIMILE TRANSMISSION UNDER 37 CFR § 1.8	
I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.	
Teri Lee	
Type or print name of person signing certification	
Signature 	Date: June 14, 2002

CONFIDENTIAL NOTE

The documents accompanying this facsimile transmission contain information from GENENTECH, INC. which is confidential or privileged. The information is intended only for the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution, or use of the contents of this faxed information is strictly prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the return of the original documents to us and the retransmission of them to the intended recipient.


If you do not receive all pages, please notify Teri Lee at (650) 225-8830.

Enclosed for consideration and entry on the record in connection with the above application are: Fax Cover Sheet, Response to Incomplete Reply (nonprovisional), photocopy of our response to Notice to File Missing Parts mailed on April 9, 2002, Copy of Notice to File Missing Parts, fully executed Combined Declaration and Power of Attorney, post card, PTO date-stamped return post card indicating receipt of Response to Notice to File Missing Parts, and Copy of Notice of Incomplete Reply.



Patent Docket P2751R1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Kevin P. Baker, et al. Serial No.: 10/052,283 Filed: January 15, 2002 For: <i>Novel Polypeptides and Methods of Use</i>	Group Art Unit: Unknown Examiner: Unknown Confirmation No: 3994 CERTIFICATE OF FACSIMILE TRANSMISSION I hereby certify that this correspondence is being sent by facsimile to the U.S. Patent and Trademark Office, OIPE at (703) 746-4060 on  June 14, 2002 Teri Lee
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RESPONSE OF INCOMPLETE REPLY (NONPROVISIONAL)

Box: OIPE
Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the Notice of Incomplete Reply (Nonprovisional) dated May 31, 2002, enclosed please find the following items:

1. A photocopy of our response to the Notice to File Missing Parts of Application which was mailed on April 9, 2002 and received by the Patent Office on April 22, 2002 as evidenced by the date stamped return postcard. This includes: Copy of official Notice to File Missing Parts of Application, Response to Missing Parts transmittal, a FULLY executed Combined Declaration and Power of attorney (in three parts, including the signed declaration of inventor Audrey Goddard) and postcard. Also enclosed is a PTO-date stamped postcard acknowledging receipt of our April 9, 2002 response.
2. Copy of Notice of Incomplete Reply.

The applicant believes the Notice of Incomplete Reply was issued in error as our April 9, 2002 reply to Missing Parts was completely responsive and included the signed declaration of inventor Audrey Goddard. As such, we do not believe any fees are due by the applicant in this regard.

Serial No: 10/052,283
Filed: January 15, 2002

In the event any additional fees are due in connection with the filing of these documents including extension fees, the Commissioner is authorized to charge such fees to our Deposit Account No. 07-0630.

Respectfully submitted,

GENENTECH, INC.

Date: June 14, 2002

By: 

Mark T. Kresnak, Ph.D.

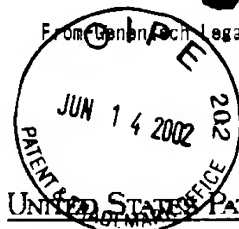
Reg. No. 42,767

Telephone No. (650) 225-4461



09157

PATENT TRADEMARK OFFICE



UNITED STATES PATENT AND TRADEMARK OFFICE

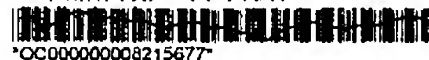
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/052,283	01/15/2002	Kevin P Baker	P2751R1C1

Mark T. Kresnak, Ph.D.
Genentech, Inc.
1 DNA Way, MS 49
South San Francisco, CA 94080

CONFIRMATION NO. 3994

FORMALITIES LETTER



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Date Mailed: 05/31/2002

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 04/09/2002 to the Notice to File Missing Parts (Notice) mailed 02/27/2002 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The signature of the following inventor(s) is missing from the oath or declaration:
Audrey Goddard

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

In re Application of Kevin P. Baker et al
Serial No. 10/052,283
Filed On: January 15, 2002
Mailed On: 9 April 2002

Docket No. P2751R1C1
By Mark T. Kresnak
Reg. No. 42,767



The following has been received in the U.S. Patent Office on the date stamped.

- ☒ Response to Notice To File Missing Parts of Application (Dwn)
- ☒ Copy of Declaration
- ☒ Copy of Notice to File Missing Parts
- ☒ Certificate of Mailing
- ☒ Postcard



Jun-14-02 10:58am From-Genentech Legal

+6509529882

T-146 P 06/14 F-921

in re Application of Kevin P. Baker et al
Serial No. 10/052 263
Filed On January 15, 2002
Mailed On 9 April 2002

Docket No. P2751R1C:
By Mark T. Kresnak
Reg. No. 42,767

The following has been received in the U.S. Patent Office on the date stamped

☒ Response to Notice To File Missing Parts of Application (Dup)
☒ Copy of Declaration
☒ Copy of Notice to File Missing Parts
☒ Certificate of Mailing
☒ Postcard





Patent Docker P2751R1C1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Kevin P. Baker <i>et al.</i>	Group Art Unit: 1645
Serial No.: 10/052,283	Examiner: Unknown
Filed: January 15, 2002	CONFIRMATION NO: 3994
For: <i>Novel Polynucleotides and Methods of Use Thereof</i>	<p>CERTIFICATE OF MAILING</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</p> <p>April 9, 2002</p> <p><i>Patricia Terrill</i></p> <p>Patricia Terrill</p>

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

BOX: MISSING PARTS
Assistant Commissioner of Patents
Washington, D.C. 20231

Sir

This is responsive to the Notice to File Missing Parts - Filing Date Granted dated . Transmitted herewith are the following documents

1. Duly executed Combined Declaration and Power of Attorney
2. Copy of Notice to File Missing Parts - Filing Date Granted

The Commissioner is hereby authorized to deduct the appropriate surcharge fee of \$130 associated with this communication or credit any overpayment to Deposit Account No 07-0630 A duplicate of this sheet is enclosed.

Respectfully submitted,

GENENTECH, INC

Date April 9, 2002

By: 

Mark T. Kresnak, Ph.D.

Reg. No 42,767

Telephone No (650) 225-4461



09157

PATENT & TRADEMARK OFFICE

Revised 11/01/95



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/052,283	01/15/2002	Kevin P. Baker	P2751R1C1

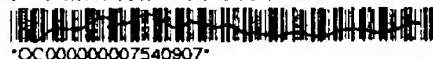
CONFIRMATION NO. 3994

Mark T. Kresnak, Ph.D.
Genentech, Inc.
1 DNA Way, MS 49
South San Francisco, CA 94080**RECEIVED**

MAR 05 2002

GENENTECH, INC.
LEGAL DEPT.

FORMALITIES LETTER



000000007540907

Date Mailed: 02/27/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(i) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CALENDAR/DHW

Apr 127, 2002
DUE DATE